

# **Revere Wealth Management**

**Last Amended: August 23, 2021**

This brochure provides information about the qualifications and business practices of Revere Wealth Management LLC (the “Adviser”), an investment adviser registered with the U.S. Securities & Exchange Commission. If you have any questions about the contents of this brochure, please contact us at (561)-994-6229. This information has not been approved or verified by the United States Securities and Exchange Commission (the “SEC”) or by any state securities authority.

Additional information about Revere Wealth Management also is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Registration with the SEC or with any state securities authority does not imply a certain level of skill or training.

Revere Wealth Management LLC  
Main Office  
650 Fifth Avenue, 35 Floor  
New York, NY 10022  
Phone: (800) 421-6452  
Fax: (212) 688-2454

Revere Wealth Management LLC  
1709 S. Breiel Blvd.  
Middletown, OH 45044  
Phone: (800) 334-7364  
Fax: (513) 423-9113

Revere Wealth Management LLC  
160 State Street, 7 Floor  
Boston, MA 02109  
Phone: 857-453-4432  
Fax: (857) 277-1551

## **Item 2. Material Changes**

Effective August 24, 2021, Revere Wealth Management will be acting as a sub-advisor to the Revere Sector Opportunity ETF (Ticker “RSPY”). Information has been added pertaining to this Exchange Traded Fund and any potential conflicts of interest.

The U.S. Securities and Exchange Commission issued a final rule in July 2010 (“Final Rule”) requiring changes to Part 2I of Form ADV. The Final Rule specifies that a new document be prepared according to the requirements of the Final Rule. This document, therefore, is materially different in structure and requires certain information not included in our prior Form ADV Part 2. This new document is now known as Form ADV Part 2 and includes Part 2A, the Brochure and Part 2B, the Brochure Supplement. In the past we have offered or delivered information about our qualifications and business practices to clients at least annually. Pursuant to the Final Rule, we will ensure that you receive a summary of any material changes to this and subsequent Brochures within 120 days of the close of our fiscal year. We may provide other ongoing disclosure information about material changes as necessary.

We will provide you with a new Brochure as necessary based on changes or new information, at any time, without charge.

Our Brochure may be requested by contacting your investment advisor representative or by contacting Charles Slavinsky, Chief Compliance Officer at 561-910-0843 or [cslavinsky@reveresecurities.com](mailto:cslavinsky@reveresecurities.com).

### **Item 3. TABLE OF CONTENTS**

Item 1. Cover Page	
Item 2. Material Changes .....	2
Item 3. Table of Contents .....	3
Item 4. Advisory Business .....	4
Item 5. Fees and Compensation .....	5
Item 6. Performance-Based Fees and Side-by-Side Management .....	7
Item 7. Types of Clients .....	7
Item 8. Methods of Analysis, Investment Strategies and Risk of Loss .....	8
Item 9. Disciplinary Information .....	10
Item 10. Other Financial Industry Activities and Affiliations .....	10
Item 11. Code of Ethics, Interest in Client Transactions and Personal Trading .....	10
Item 12. Brokerage Practices .....	11
Item 13. Review of Accounts .....	13
Item 14. Client Referrals and Other Compensation .....	13
Item 15. Custody .....	14
Item 16. Investment Discretion .....	14
Item 17. Voting Client Securities .....	15
Item 18. Financial Information .....	15
Item 19. Requirements for State-Registered Advisers .....	22

#### **Item 4. Advisory Business**

The Adviser is an investment adviser its principal place of business in New York, NY. The Adviser commenced operations as an investment adviser on March 21, 2014 and has been registered with the State of Ohio since March 21, 2014. The Adviser filed as an SEC registered adviser in 2017. Revere Investment Holdings LLC is the Parent Company of the Adviser. The primary shareholder of Revere Investment Holdings LLC is Harvey A. McGrath. The officers of the company are as follows:

William F. Moreno CEO, CFO, Managing Member

John D. Kinder – Director of Investment Advisory Services

Charles Slavinsky - CCO

The Adviser provides investment advisory services both on a discretionary and non-discretionary basis to its clients, which include individuals and institutions with separately managed accounts and pooled investment vehicles intended for sophisticated investors and institutional investors. The Adviser limits its advice to investments in fixed-income securities, equity and equity-related securities, mutual funds, and money market funds. The Adviser utilizes a fundamental investment approach that emphasizes research and business valuation. We focus on the individual characteristics of each investment portfolio. To determine a company's upside potential and downside protection, we perform a review that includes analysis of historical and account profitability, liquidity, leverage, and asset management.

The Adviser provides advice to client accounts based on specific investments objectives and strategies. Under Certain circumstances, the Adviser may agree to tailor advisory services to the individual needs of clients. Currently, the Adviser tailors its advisory services according to a client's investment objectives, risk tolerance, age (if applicable), investment time horizon and personal preferences. For example, a client may refuse to purchase tobacco-related securities or automotive-related securities.

Effective August 23, 2021, Revere Wealth Management began acting as a sub-advisor to the Revere Sector Opportunity ETF (NYSE: "RSPY"). RSPY is advised by Tuttle Capital Management LLC, located at 500 West Putnam Avenue, Ste. 400, Greenwich CT 06830. Revere Wealth Management and specifically, Scott H. Fullman act as the sub-advisor to the ETF. RSPY seeks capital appreciation through the investment and rebalancing in ETFs that represent a sector of the S&P 500 Index ("Sector ETFs"). RSPY may invest in any or all of the following sectors at different weightings, as determined by the sub-advisor: Information Technology, Healthcare, Financials, Consumer Discretionary, Communication Services, Industrials, Consumer Staples, Energy, Utilities, Real Estate and Materials. Additional information about the ETF is available upon request to any of our offices (see this cover page) and is also available online via the SEC's website <http://sec.gov> under the Filings, Company Filings search tab. The fund also has a website: <http://RSPYETF.com> from which a copy of the prospectus is available.

The Adviser does not participate in wrap-fee program.

As of March 25, 2021, Revere Wealth Management LLC has 107 client accounts under management, with total assets of approximately \$95.2 million.

Information about the Adviser is publicly available via the U.S. Securities & Exchange Commission's website at:

<http://www.sec.gov/investor/brokers.htm>

## **Item 5. Fees and Compensation**

### **Asset-Based Compensation**

Revere Wealth Management has two different fee schedules, the Revere Flat Fee Schedule, and the Revere Wealth Ladder Fee Schedule. The difference in these schedules is the Flat Fee Schedule charges a fixed fee on equities based on total assets within a dollar bracket while the Ladder Fee Schedule charges percentages that decrease as assets hit certain breakpoints.

For example, in a Ladder Fee scenario, the client would pay 2 ½ percent on assets up to \$249,999 and pay 2 ¼ percent on assets between the \$250,000 and \$499,999 range. So, a client with \$450,000 would pay 2 ½ percent annually on the first \$249,999, while paying 2 ¼ percent annually on the remaining amount. In a Flat Fee Scenario example, if a client has \$270,000, they will pay a flat 2 ¼ percent all the assets.

The individual Investment Advisor Representative may choose either fee schedule and the clients can request one fee schedule over the other, as a matter of choice.

### **Revere Flat Fee Schedule:**

The Adviser charges each client an investment management fee based on the value of the client's assets under management, in accordance with the following schedule:

Assets in the Account	Investment Management Fee (As an Annual % of Assets)
\$0 to \$249,999	2 ½ %
\$250,000 to \$499,999	2 ¼ %
\$500,000 to \$999,999	2 %
\$1,000,000 to \$3,000,000	1 ½ %
More than \$3,000,000	1 %

### **Revere Ladder Fee Schedule**

The Adviser charges each client an investment management fee based on the value of the client's assets under management, in accordance with the following schedule:

Assets in the Account	Investment Management Fee (As an Annual % of Assets)
Assets up to \$249,999	2 ½ %
Assets between \$250,000 to \$499,999	2 ¼ %
Assets between \$500,000 to \$999,999	2 %
Assets between \$1,000,000 to \$3,000,000	1 ½ %
All assets over \$3,000,000	1 %

All fixed-income portfolios will be billed at one percent (1 %) regardless of chosen fee schedule, unless otherwise stated in the client's individual advisory agreement.

Investment management fees are charged each quarter in advance or in arrears based on the total market value of the assets in the client account (including net unrealized appreciation or depreciation of investments) on the last day of the prior quarter. If a new client account is established during a quarter or a client makes an addition to their account during a quarter the investment management fee will be charged as of the effective date of the investment management agreement or the date of the additional contribution based on the value of the assets as of the applicable date and will be prorated for the number of days remaining in the quarter. Upon termination during a quarter, the fee payable to the advisor will be calculated based on the value of assets on termination date and prorated for the number of days during the quarter in which the agreement was in effect.

The above grids reflect our standard fees; however, fees are negotiable. The schedule above reflects the maximum amount that Revere Wealth Management LLC may charge.

Revere Wealth Management also acts as a sub-advisor to the Revere Sector Opportunity ETF (NYSE: Symbol "RSPY"). The ETF is a fund with an investment strategy based on the 11 economic sector Exchange Traded Funds ("ETFs") that, in total, comprise the entire S&P 500 Index ("SPX"). The SPX, also known as the Standard & Poor's 500 Index, is comprised of 500 large-cap companies which are generally well-known blue-chip stocks such as FedEx, Apple, and Intel.

The goal of the Revere Sector Opportunity ETF is to outperform the SPX by selectively weighting the 11 economic sector ETFs that comprise the SPX; overweighting sectors expected to outperform while underweighting or not investing in sectors expected to underperform the SPX. A third-party, registered investment advisor, Tuttle Capital Management, LLC is the advisor while Chief Strategist and Portfolio Manager, Scott Fullman is the Investment Manager for RWM as sub-advisor on this ETF.

As snapshot of the fees associated with the ETF are as follows:

**Fund Summary: Revere Sector Opportunity ETF**

**Investment Objective:** Capital Appreciation

Fees and Expenses of the Fund: The table below describes the fees and expenses, excluding and brokerage fees, that you may pay if you buy, sell and hold shares of the Fund:

Annual Fund Operating Expenses (expenses that you pay each year as a percentage of the value of your investment).	
Management Fees	0.95%
Distribution and/or Services (12b-1) Fees	0.00%
Other Expenses(1)	0.10%
Acquired Fund Fees and Expenses(2)	0.10%
Total Annual Fund Operating Expenses	1.15%
Fee Waiver and Reimbursement(3)	0.10%
Total Annual Fund Operating Expenses After Fee Waiver	1.05%

- (1) Other Expenses are estimated for the Fund's initial fiscal year.
- (2) Acquired Fund Fees and Expenses, which are estimated for the Fund's initial fiscal year, are the indirect costs of investing in other investment companies. The operating expenses in this fee table will not correlate to the expense ratio in the Fund's financial highlights because the financial statements include only the direct operating expenses incurred by the Fund.
- (3) The advisor has contractually agreed to reduce its fees and to reimburse expenses, at least through August 31, 2022 to ensure the Net Annual Fund Operating Expenses (exclusive of any (i) front-end or contingent deferred loads, (ii) brokerage fees and commissions, (iii) acquired fund fees and expenses, (iv) fees and expenses associated with instruments in other collective investment vehicles or derivative instruments (including for example options and swap fees and expenses), (v) borrowing costs (such as interest and dividend expense on securities sold short), (vi) taxes, (vii) other fees related to underlying investments (such as option fees and expenses or swap fees and expenses); or (viii) extraordinary expenses such as litigation (which may include indemnification of Fund officers and trustees or contractual indemnification of Fund services providers (other than the advisor) will not exceed 1.5%. This expense limitation agreement may be terminated at any time by the Board upon sixty days (60) written notice to the advisor. Fee waivers and expense reimbursements are subject to possible recoupment from the Fund in future years on a rolling three-year basis (within the three years after the fees have been waived or reimbursed), if such recoupment can be achieved within the foregoing expense limits or the expense limits in place at the time of recoupment.

**Example:** This Example is intended to help you compare the cost of investing in the fund with the cost of other funds.

The example assumes that you invest \$10,000 in the Fund for the time periods indicated and then redeem all of your shares at the end of those periods. The Example also assumes that your investment has a 5% return each year and that the Fund's operating expenses remain the same. Although your actual costs may be higher or lower, based upon these assumptions your costs would be:

**1 Year**  
\$107

**3 Years**  
\$355

**Important:** The above costs only account for those fees inside the fund. Commissions charged for the purchase and/or sale of the ETF or fees charged as a part of your managed account at Revere Wealth Management are separate and distinct. So, for example, a Revere Wealth Managed Account (fee based account) paying the maximum 2 ½ percent fee (highest level for example purposes) would also be paying the fees inside the managed ETF. Your examples from above would differ as follows on the same \$10,000 in the ETF Fund:

**1 Year**  
\$369.50

**3 Years**  
1,182.54

Please visit <http://rspyETF.com> or <http://sec.gov> to view the prospectus of the fund.

**Conflict of Interest:** There is a significant conflict of interest as it pertains purchasing the Revere Sector Opportunity ETF (“RSPY”) in your Revere Wealth managed account.. The conflicts are listed below and may not be all encompassing:

- **Additional Fees:** If you have a managed account at Revere Wealth Management and invest in the Revere Sector Opportunity ETF, Revere Wealth Management will be receiving two sets of fees. (1) Management fees based on your current advisory contract for managing the investments in your account and (2) Sub-Advisor fees for acting as sub-advisor in the ETF Fund, currently 1.05%. The sub-advisor fees are a part of the ETF’s expenses, so you will not be billed on these built in fees.
- As Revere Wealth Management is obtaining fees as sub-advisor of RSPY, your investment advisor representative has incentive to purchase this product rather than seek other comparable products that may have similar objectives.
- Your investment advisor representative may invest in RSPY as opposed to other investments that may be more cost effective, with lower fees or commissions.
- Your investment advisor representative may invest in RSPY as opposed to other investments similar in nature that have a more established performance record, and that may perform better or worse over the same holding period.
- As RSPY is a fund of funds, part of RSPY’s costs are used to pay fees of the underlying sector ETFs.
- RSPY is an actively managed ETF; however, the underlying sector ETFs could be purchased by clients individually, which would decrease the fees paid by the client when compared to those invested in RSPY. However, as RSPY is actively managed, any changes by the advisor or sub-advisor would not be known or necessarily acted upon if the sector funds were purchased individually.

Once again, we ask that you review the prospectus available at <http://rspyETF.com> or <http://sec.gov> .

## **PORTFOLIO TURNOVER:**

### **Performance-Based Compensation**

The Adviser currently does not charge performance-based fees.



The Adviser deducts the management fee from client accounts by instructing the client's custodian to do so. The Adviser deducts management fees from its client's accounts quarterly.

In addition to paying management fees, client accounts will also be subject to other investment expenses such as brokerage fees, commissions, and related costs. The client will be charged a \$50.00 fee for equity transactions and \$50.00 fee for option transactions. The Adviser reserves the right to waive such fees, which will be reflected in Schedule B of your Advisory Agreement. Client assets may be invested in money market mutual funds, ETF's, or other registered investment companies. In these cases, the client will bear its pro rata share of the management fee and other fees of such funds, which may be in addition to the management fee paid to the Adviser.

The Adviser recommends its affiliate broker-dealer and is compensated directly in connection with the sale of mutual funds. As a result of this additional compensation, the Adviser is presented with a conflict of interest because the Adviser has an incentive to recommend these securities or other investment products based on the compensation received, rather than on a client's need. The adviser has adopted and implemented policies and procedures to monitor frequency of trading and to address these conflicts. Clients have the option to purchase investment products that the Adviser recommends through other brokers or agents that are not affiliated with the Adviser.

As it pertains solely to the Revere Sector Opportunity ETF, the Fund pays transaction costs, such as commissions, when it buys and sells securities (or "turns over" its portfolio). A higher portfolio turnover rate may indicate higher transaction costs and may result in higher taxes when Fund shares are held in a taxable account. These costs, which are not reflected in annual fund operating expenses, may adversely affect the Fund's performance.

#### Refunds on Prepaid Advisory Services:

Clients may be billed for services in advance or in arrears. Clients billed in advance that terminate their contract prior to the next billing period are entitled to a partial, pro-rated refund for the pre-payment of such services based on the number of days services have been rendered. Upon receiving your termination notice, the Firm will calculate advance fees due and return such fees no later than 30 days after your termination notice. If you do not receive a refund and you believe you are entitled to such, please contact the firm's Chief Compliance Officer at (561) 910-0843.

#### Household Billing:

Depending on your agreement with your Investment Advisor Representative, accounts may be billed individual or based on entire households.

### **Item 6. Performance-Based Fees and Side-by-Side Management**

This item is applicable if the Adviser or any of its supervised persons accepts performance-based fees – that is fees based on a share of capital gains on or capital appreciation of the assets of a client such as a client that is a Hedge fund. This item is not applicable to clients of Revere Wealth Management.

### **Item 7. Types of Clients**

The Adviser's client consists of individuals, banks, thrift institutions, pension and profit-sharing plans, charitable organizations, corporations, and other business entities.

The Adviser requires that a client invests a minimum of \$100,000 to open an account although specific minimum account sizes may vary. On a case-by-case basis, this minimum account value may be waived based on client type, asset class, pre-existing relationship with the client and other factors. If the account size falls below the minimum requirement due to market fluctuations only, a client will not be required to invest additional funds with the Adviser to meet the minimum account size.

## **Item 8. Methods of Analysis, Investment Strategies and Risk of Loss**

**Methods of Analysis and Investment Strategies.** The Adviser utilizes a variety of methods and strategies to make investment decisions and recommendations. The methods of analysis include fundamental research, charting analysis, cyclical analysis as well as use of technical analytical tools and approaches.

The Adviser employs the following investment strategies:

**Buy and Hold.** The Adviser engages in a buy and hold investment strategy wherein the Adviser buys securities and generally holds them for an extended period of time, regardless of short-term factors such as fluctuations in the market or volatility of the stock price.

**Equity.** The Adviser's equity strategy focuses on a broad range of equity investment styles, including growth, core, and value, as well as portfolios designed to be "style-neutral". Some client accounts focus on specific ranges on the capitalization scale, from micro-cap, through small-cap, mid-cap, and large-cap, to mega-cap. Other client accounts will focus on investment opportunities in more than one capitalization category or across all capitalization levels. In addition, the Adviser manages client accounts that are global, multi-national, or focused on particular geographic regions or specific countries.

**Fundamental Value.** The Adviser engages in a fundamental value investment strategy wherein the Adviser attempts to invest in asset-oriented securities the Adviser believes are undervalued by the market.

**Growth.** The Adviser engages in a capital growth investment strategy wherein the Adviser attempts to select securities of a company whose earnings the Adviser expects to grow at an above-average rate compared to the company's specific industry or the overall market.

**Option Trading.** The Adviser may engage in option trading. Options are investments whose ultimate value is determined from the value of the underlying investment. The Adviser engages only in covered calls to enhance income on certain investments.

The Adviser may use any additional strategies and products it deems necessary to meet the clients' investment goals.

Strategies pertaining to the Revere Sector Opportunity ETF can be found in its prospectus located at <http://rspyETF.com> or <http://sec.gov>

## Material Risks (Including Significant, or Unusual Risks) Relating to Investment Strategies.

**Issuer-Specific Changes:** Changes in the financial condition of an issuer or counterparty, changes in specific economic or political conditions that affect a particular type of security or issuer, and changes in general economic or political conditions can increase the risk of default by an issuer or counterparty, which can affect a security's or instrument's value. The value of securities of smaller, less well-known issuers can be more volatile than that of larger issuers. Smaller issuers can have more limited product lines, markets, or financial resources.

Risks Associated with Types of Securities that are Primarily Recommended (Including Significant, or Unusual Risks).

**Equity Securities:** The value of equity securities fluctuates in response to issuer, political, market, and economic developments. Fluctuations can be dramatic over the short as well as long term, and different parts of the market and different types of equity securities can react differently to these developments. For example, large cap stocks can react differently from small cap stocks, and "growth" stocks can react differently from "value" stocks. Issuer, political, or economic developments can affect a single issuer, issuers within an industry or economic sector or geographic region, or the market as a whole. Changes in the financial condition of a single issuer can impact the market as a whole.

**Terrorism:** Terrorism and related geo-political risks have led, and may in the future lead, to increased short-term market volatility and may have adverse long-term effects on world economies and markets generally.

**Epidemic and Pandemic:** These risks, may significantly affect one security, one sector or an entire market. As with terrorism, these risks may lead to increased short-term market volatility and may have adverse long-term effects on world economies and markets generally.

**Fixed-Income and Debt Securities:** Investment in fixed-income and debt securities such as bonds, notes, and asset-backed securities, subject a client's portfolios to the risk that the value of these securities overall will decline because of rising interest rates. Similarly, portfolios that hold such securities are subject to the risk that the portfolio's income will decline because of falling interest rates. Investments in these types of securities will also be subject to the credit risk created when a debt issuer fails to pay interest and principal in a timely manner, or that negative perceptions of the issuer's ability to make such payments will cause the price of that debt to decline. Lastly, investments in debt securities will also subject the investments to the risk that the securities may fluctuate more in price, and are less liquid than higher-rated securities because issuers of such lower-rated debt securities are not as strong financially and are more likely to encounter financial difficulties and be more vulnerable to adverse changes in the economy.

**REITs (Real-Estate Investment Trusts):** REITs in which the Adviser invests client accounts are affected by underlying real estate values, which may have an exaggerated effect to the extent that REITs in which the Adviser invests concentrate investments in particular geographic regions or property types. Investments in REITs are also subject to the risk of interest rate volatility. Further, rising interest rates will cause investors in REITs to demand a higher annual yield from future distributions, which will in turn decrease market prices for equity securities issued by REITs. REITs are subject to risks inherent in operating and financing a limited number of projects because they are dependent upon specialized

management skills and have limited diversification. REITS depend generally on their ability to generate cash flow to make distributions to investors. Lastly, REITS are generally illiquid. This means there may not be a market to sell such investments. REITS are generally meant to be held for a period of 5-7 years, or longer.

**Options:** In connection with the use of options, there may be an imperfect correlation between the change in market value of a security and the prices of the options in the client's account. In addition, the risks associated with the advisor's investment in covered calls may result in the clients underlying investment or stock being called away if the option is exercised.

**IMPORTANT:** Investing in securities involves risk of loss that clients should be prepared to bear.

### **Item 9. Disciplinary Information**

The Firm's affiliated broker-dealer, Revere Securities LLC and its owners do have disciplinary disclosures. They are available for review from FINRA's Brokercheck and also disclosed via the SEC's Investment Advisor Public Disclosure Websites.

Brokercheck: <http://brokercheck.finra.org/>

SEC Public Disclosure: <https://www.sec.gov/investor/brokers.htm>

Copies of these disclosures are also available upon written request to either address on the cover page of this document.

### **Item 10. Other Financial Industry Activities and Affiliations**

In addition to being registered as an investment adviser, the Firm recommends its affiliated broker-dealer, Revere Securities LLC (CRD Number 14178). Certain members of the Adviser's management are also registered representatives.

The affiliated broker-dealer, effects securities transactions on behalf of its Revere Wealth Management's advisory clients. This arrangement represents a conflict of interest because the Adviser has an economic incentive to use its affiliated broker-dealer for its clients' securities transactions, in lieu of selecting other broker-dealers to effect client securities transactions. Further, as certain Investment Advisor Representatives are also registered representatives with our affiliated broker-dealer, registered representatives in such capacity will receive remuneration for brokered services in the form of commissions. This conflict of interest is disclosed to clients and the Adviser follows the evaluation policies and procedures disclosed in Item 12 to minimize such conflicts.

As previously mentioned in other sections of this document, We act as the sub-advisor to the Revere Sector Opportunity ETF (NYSE: "RSPY"). As sub-advisor, our IAR Scott Fullman reviews and performs rebalancing of an ETF, which has up to 11 sector ETF's as a part of its portfolio. Revere Wealth Management is compensated for this as a part of the built-in fees of the ETF. For additional information, please review the prospectus available at <http://rspyETF.com> or <http://sec.gov>.

## **Item 11. Code of Ethics, Interest in Client Transactions and Personal Trading**

The Adviser has adopted a Code of Ethics (the “Code”) that obligates the Adviser and its related persons to put the interests of the Adviser’s clients before their own interests and to act honestly and fairly in all respects in their dealings with clients. All of the Adviser’s personnel are also required to comply with applicable Federal and State securities laws. Clients or prospective clients may obtain a copy of the Code by contacting Charles Slavinsky (Chief Compliance Officer) by email at [cslavinsky@reveresecurities.com](mailto:cslavinsky@reveresecurities.com), or by telephone at 561-910-0843.

See below for further provisions of the Code as they relate to the pre-clearing and reporting of securities transactions by related persons.

The Adviser, in the course of its investment management and other activities (e.g., board or creditor committee service), may come into possession of confidential or material nonpublic information about Issuers, including issuers in which the Adviser or its related persons have invested or seek to invest on behalf of clients. The Adviser is prohibited from improperly disclosing or using such information for its own benefit or for the benefit of any other person, regardless of whether such other person is a client.

The Adviser maintains and enforces written policies and procedures that prohibit the communication of such information to persons who do not have a legitimate need to know such information and to assure that the Adviser is meeting its obligations to clients and remains in compliance with applicable law. In certain circumstances, the Adviser may possess certain confidential or material, nonpublic information that, if disclosed, might be material to a decision to buy, sell or hold a security, but the Adviser will be prohibited from communicating such information to the client or using such information for the client’s benefit. In such circumstances, the Adviser will have no responsibility or liability to the client for not disclosing such information to the client (or the fact that the Adviser possesses such information), or not using such information for the client’s benefit, as a result of following the Adviser’s policies and procedures designed to provide reasonable assurances that it is complying with applicable law.

The Adviser related persons may invest in the same securities (or related securities, e.g., warrants, options, or futures) that the Adviser or a related person recommends to clients. Such practices present a conflict where, because of the information an Adviser has, the Adviser or its related person are in a position to trade in a manner that could adversely affect clients (e.g., place their own trades before or after client trades are executed in order to benefit from any price movements due to the clients’ trades).

In addition to affecting the Adviser’s or its related person’s objectivity, these practices by the Adviser or its related persons may also harm clients by adversely affecting the price at which the clients’ trades are executed. In an effort to minimize such conflicts the Adviser’s code prohibits the Adviser’s related persons from executing personal securities transactions of any kind in any securities on a restricted securities list maintained by the Chief Compliance Officer. All of the Adviser’s related persons are also required to disclose their securities transactions on a monthly basis and holdings on a monthly basis. All of the Adviser’s related persons are also required to provide broker confirmations of each transaction in which they engage. Trading in employee accounts will be reviewed by the Chief Compliance Officer and

compared with transactions for the client accounts and reviewed against the restricted securities list.

The adviser's related persons may recommend securities to clients at or about the same time that such related persons buy or sell the same securities for their own account provided that either (1) the advisor receives the same or worse execution price as the client or (2) the advisor's transaction is executed only after all other transactions in the same security are executed for that particular trading day.

## **Item 12. Brokerage Practices**

The Adviser, acting in its capacity as a broker-dealer, executes securities transactions on behalf of its clients. Consistent with its duty to seek best execution, the Adviser, acting in its capacity as a broker-dealer considers several factors in setting the price of executing such securities transactions and determining the reasonableness of its compensation for such services. Such factors include net price, reputation, stability, efficiency of execution and error resolution of broker-dealers. When executing securities transactions and determining the reasonableness of the broker-dealer's compensation, the Adviser need not solicit competitive bids and does not have an obligation to seek the lowest available commission cost. The Adviser's Chief Compliance Officer performs periodic reviews to evaluate the Adviser's execution of client trades using the foregoing factors.

The Adviser, acting in its investment advisory capacity, does not receive research or other products or services other than execution in connection with its broker-dealer activities on behalf of advisory clients.

The Adviser often purchases or sells the same security for many clients at or near the same time and using itself as the executing broker. It is the Adviser's practice, where possible, to aggregate client orders for the purchase or sale of the same security submitted at or near the same time for execution. The Adviser will also aggregate in the same transaction, the same securities for accounts where the Adviser has brokerage discretion. Such aggregation may enable the Adviser to obtain for clients a more favorable price based upon the volume of a particular transaction. In cases where trading or investment restrictions are placed on a client's account, the Adviser may be precluded from aggregating that client's transaction with others. In such a case, the client may pay a higher commission rate and/or receive less favorable prices than clients who are able to participate in an aggregated order. When an aggregated order is completely filled, the Adviser allocates the securities purchase or proceeds of sale pro-rata among the participating accounts, based on the purchase or sale order. Adjustments or changes may be made under certain circumstances, such as to avoid odd lots or excessively small allocations. If the order at a particular broker is filled at several different prices, through multiple trades, generally all such participating accounts will receive the average price and pay the average commission, subject to odd lots, rounding, and market practice. If an aggregated order is only partially filled, the Adviser's procedures provide that the securities or proceeds are to be allocated in a manner deemed fair and equitable to clients. Depending on the investment strategy pursued and the type of security, this may result in a pro-rata allocation to all participating clients.

The Adviser and the broker-dealer are affiliates, which may create a conflict of interest. When a client directs the Adviser to use Revere Securities LLC (the broker-dealer which is affiliated with the Adviser) to effect transactions for the client, a conflict of interest arises because the

Adviser has an incentive to place client trades with its affiliated broker-dealer instead of unaffiliated broker-dealers because the Adviser's organization earns commissions on such trades. By directing the Adviser to use the Adviser's affiliated broker-dealer or other broker-dealer to effect transactions, a client may receive higher brokerage and commission rates, less favorable execution of transactions and the potential of exclusion from the client's portfolio of certain foreign ordinary shares and/or small capitalization of illiquid securities due to the inability of the particular broker-dealer in question can provide adequate price and execution of all types of securities transactions. Not all advisors require their clients to direct brokerage. Generally, due to certain efficiencies and system integration, clients are expected to use Revere Securities LLC as the broker-dealer and any non-directed brokerage will use this affiliate. Requests for advisory accounts held outside of the Revere Securities platform may be denied due to numerous issues, including system integration issues, familiarity with the platform and services, and required minimal assets by the other custodian / broker-dealer in order to maintain accounts there. These, among other factors, may prohibit Revere Wealth Management LLC from using such other platforms or custodians.

### **Item 13. Review of Accounts**

Each client account is reviewed by a portfolio manager no less than quarterly to determine whether securities positions should be maintained in view of current market conditions. Matters reviewed include specific securities held, adherence to investment guidelines and the performance of each client account.

Significant market events affecting the prices of one or more securities in client accounts, changes in the investment objectives or guidelines of a particular client, a high number of trades or specific arrangements with a particular client may trigger reviews of client accounts on other than a periodic basis. This may be completed by the portfolio manager or the Chief Compliance Officer.

Each client receives, at a minimum, monthly account statements (quarterly, if no activity occurred the prior 2 months) that are delivered by U.S. Postal Service or electronically, if so, elected by the client. These are provided directly from the custodian on the accounts. In addition, each client that is a separate account may receive quarterly portfolio appraisals, performance reports and a client letter from the Adviser. Such appraisals, reports or letters may contain a brief synopsis of the market, a review of featured stocks, anticipated changes, and status of the client's account. Such reports may be delivered electronically to clients in accordance with the client's agreement with the Adviser.

### **Item 14. Client Referrals and Other Compensation**

If someone who is not a client provides an economic benefit to the Adviser for providing investment advice or other advisory services to its clients, generally describe the arrangements, explain the conflicts of interest, and describe how the Adviser addresses the conflicts of interest. Economic benefits include any sales awards or other prizes.

Revere Securities LLC produces research or market commentaries that may be distributed to our advisory clients, along with clients of Revere Securities LLC. Revere Wealth Management LLC does not pay for such commentaries and they are made available by our affiliate as a courtesy to our clients.

If the Advisor or a related person directly or indirectly compensate any person who is not its supervised person for client referrals, the arrangement and the compensation must be disclosed. This item is not applicable at the present time.

#### Revere Securities (“RS”) Cash Sweep Program Rebates:

RS receives rebates from our custodian (it’s clearing firm), StoneX for funds placed in its Cash Sweep Program. Revere Securities can receive up to 45 basis points for investments carried in money market products. This creates a conflict of interest, as Revere Wealth Management is incentivized to carry funds in such product, resulting in rebates to its affiliated broker-dealer. It should be noted that such rebates do not affect the cost of such products to RWM clients in any way and neither RWM nor your individual Investment Advisor Representative receive any form of compensation from this arrangement.

#### Revere Securities Postage and Handling Fees:

To help cover the cost of charges from its clearing firm, StoneX, RS charges \$5.95 postage and handling fees for each transaction, which is directly charged through its clearing firm (RWM’s custodian), StoneX and rebated back to Revere Securities LLC. As your Investment Advisory Representative is also a registered account executive at Revere Securities, LLC; this creates a conflict of interest and more transactions will generate additional postage and handling fees. Your individual Investment Advisor Representative may choose to absorb these fees on your behalf. If you are unsure if you are being charged, please discuss this with your Investment Advisor Representative and review your contract with Revere Wealth Management advisor.

### **Item 15. Custody**

If the Adviser has custody of client funds or securities and a qualified custodian sends quarterly, or more frequent, account statements directly to the Adviser’s clients, clients should carefully review those statements. This item is not applicable. The Adviser currently uses the Revere Securities LLC’s trading platform, as provided by StoneX. StoneX is custodian for both firms, and they are a FINRA and SEC regulated broker-dealer and clearing firm.

### **Item 16. Investment Discretion**

The Adviser provides investment advisory services on a discretionary basis to clients, unless specifically stated otherwise in the client’s contract. Please see Item 4 for a description of any limitation’s clients may place on the Adviser’s discretionary authority.

Prior to assuming limited discretion in managing a client’s assets, the Adviser enters into an investment management agreement or other agreement that sets forth the scope of the Adviser’s discretion.

Unless otherwise instructed or directed by a discretionary client, the Adviser has the authority to determine (i) the securities to be purchased and sold for the client account (subject to restrictions on its activities set forth in the applicable investment management agreement and any written investment guidelines) (ii) the amount of securities to be purchased or sold for the client account. Because of the differences in client investment objectives and strategies, risk tolerances, tax status and other criteria, there may be differences among clients in invested



positions and securities held. The Adviser submits an allocation statement to the Adviser's trading desk describing the allocation of securities to client accounts for each trade/order submitted. The Adviser consider the following factors, among others, in allocating securities among clients: (i) client investment objectives and strategies; (ii) client risk profiles; (iii) tax status and restrictions placed on a client's portfolio by the client or by applicable law; (iv) size of the client account; (v) nature and liquidity of the security to be allocated; (vi) size of available position; (vii) current market conditions; and (viii) account liquidity, account requirements for liquidity and timing of cash flows. Although it is the Adviser's policy to allocate investment opportunities to eligible client accounts on a pro rata basis based on the value of the assets of each participating account relative to value of the assets of all participating accounts, these factors may lead the Adviser to allocate securities to client accounts in varying amounts. Even client accounts that are typically managed on a pari passu basis may from time to time receive differing allocations of securities based on total assets of each account eligible to invest in the particular investment type (e.g., equities) divided by the total assets of all accounts eligible to invest in the particular investment.

Securities acquired by the Adviser for its clients through initial public offerings (IPOs) and secondary offerings will be allocated pursuant to the procedures set forth in the Adviser's allocation policy. The policy provides that: (i) if the Adviser receives a full allocation of securities in an IPO, the securities will be allocated by the Adviser's syndicate manager to eligible/participating client accounts in accordance with the proposed allocations provided to the syndicate manager by the Adviser, or (ii) if the Adviser receives less than a full allocation of securities in an IPO, the securities will be allocated by the syndicate manager to eligible/participating client accounts based upon the assets of each participating account. The Adviser will determine the proposed allocations of IPO securities after considering the factors described above with respect to general allocations of securities. Only those client accounts that have established their eligibility to participate in IPOs with the Adviser can participate in IPO allocations.

Securities acquired by the Adviser for its clients through a limited offering will be allocated pursuant to the procedures set forth in the Adviser's allocation policy. The policy provides that the Adviser will determine the proposed allocation of limited offering securities after considering the factors described above with respect to general allocations of securities and determining those client accounts eligible to hold such securities. Eligibility will be based on the legal status of the clients and the client's investment objectives and strategies.

If it appears that a trade error has occurred, the Adviser will review the relevant facts and circumstances to determine an appropriate course of action. To the extent that trade errors and breaches of investment guidelines and restrictions occur, the Adviser's error correction procedure is to ensure that clients are treated fairly and, following error correction, are in the same position they would have been if the error had not occurred. The Adviser has discretion to resolve a particular error in any appropriate manner that is consistent with the above stated policy. All Trade errors are reviewed and approved in writing by the Chief Compliance Officer.

#### **Item 17. Voting Client Securities**

The Adviser does not have authority to vote client securities. Clients will receive their proxies or other solicitations directly from their custodian. With respect to any questions about a

particular solicitation, clients can contact Charles Slavinsky, Chief Compliance Officer by email at [cslavinsky@reversecurities.com](mailto:cslavinsky@reversecurities.com) or by telephone at 561-910-0843.

## **Item 18. Financial Information**

The Adviser has obtained a loan from its Chief Executive Officer, William Moreno, for the purposes of covering certain billing and financial obligations. The Advisor is currently operating at a net-loss.

Loan from Custodian – StoneX:

On January 31, 2018, RWM received a \$200,000 loan from its custodian, StoneX. This loan is for additional working capital, to assist in firm in its recruiting efforts and bringing new assets to RWM. This is a conflict of interest, as this loan incentivized Revere Wealth Management LLC to keep a custodial relationship with StoneX.

## **GLOSSARY OF TERMS**

**The following is a Glossary of Terms used in the Form ADV Part 2a Brochure (above) and the Form ADV Parts 2b (individual addendums) that follow this glossary.**

1. **Advisory Affiliate:** Your advisory affiliates are (1) all of your officers, partners, or directors (or any person performing similar functions); (2) all persons directly or indirectly controlling or controlled by you; and (3) all of your current employees (other than employees performing only clerical, administrative, support or similar functions).

If you are a “separately identifiable department or division” (SID) of a bank, your advisory affiliates are: (1) all of your bank’s employees who perform your investment advisory activities (other than clerical or administrative employees); (2) all persons designated by your bank’s board of directors as responsible for the day-to-day conduct of your investment advisory activities (including supervising the employees who perform investment advisory activities); (3) all persons who directly or indirectly control your bank, and all persons whom you control in connection with your investment advisory activities; and (4) all other persons who directly manage any of your investment advisory activities (including directing, supervising or performing your advisory activities), all persons who directly or indirectly control those management functions, and all persons whom you control in connection with those management functions.. [Used in: Part 1A, Items 7, 11, DRPs; Part 1B, Item 2]

2. **Annual Updating Amendment:** Within 90 days after your firm’s fiscal year end, your firm must file an “annual updating amendment,” which is an amendment to your firm’s Form ADV that reaffirms the eligibility information contained in Item 2 of Part 1A and updates the responses to any other Item for which the information is no longer accurate. [Used in: General Instructions; Part 1A Instructions, Introductory Text, Item 2; Part 2A, Instructions, Appendix 1 Instructions; Part 2B, Instructions]

3. **Brochure:** A written disclosure statement that you must provide to clients and prospective clients. See SEC rule 204-3; Form ADV, Part 2A. [Used in: General Instructions; Used throughout Part 2]

4. **Brochure Supplement:** A written disclosure statement containing information about certain of your supervised persons that your firm is required by Part 2B of Form ADV to provide to clients and prospective clients. See SEC rule 204-3; Form ADV, Part 2B. [Used in: General Instructions; Used throughout Part 2]

5. **Charged:** Being accused of a crime in a formal complaint, information, or indictment (or equivalent formal charge). [Used in: Part 1A, Item 11; DRPs]

6. **Client:** Any of your firm's investment advisory clients. This term includes clients from which your firm receives no compensation, such as members of your family. If your firm also provides other services (e.g., accounting services), this term does not include clients that are not investment advisory clients. [Used throughout Form ADV and Form ADV-W]

7. **Control:** Control means the power, directly or indirectly, to direct the management or policies of a person, whether through ownership of securities, by contract, or otherwise.

- Each of your firm's officers, partners, or directors exercising executive responsibility or persons having similar status or functions) is presumed to control your firm.
- A person is presumed to control a corporation if the person: (i) directly or indirectly has the right to vote 25 percent or more of a class of the corporation's voting securities; or (ii) has the power to sell or direct the sale of 25 percent or more of a class of the corporation's voting securities.
- A person is presumed to control a partnership if the person has the right to receive upon dissolution, or has contributed, 25 percent or more of the capital of the partnership.
- 1
- A person is presumed to control a limited liability company ("LLC") if the person: (i) directly or indirectly has the right to vote 25 percent or more of a class of the interests of the LLC; (ii) has the right to receive upon dissolution, or has contributed, 25 percent or more of the capital of the LLC; or (iii) is an elected manager of the LLC.
- A person is presumed to control a trust if the person is a trustee or managing agent of the trust [Used in: General Instructions; Part 1A, Instructions, Items 2, 7, 10, 11, 12, Schedules A, B, C, D; DRPs]

8. **Custody:** Custody means holding, directly or indirectly, client funds or securities, or having any authority to obtain possession of them. You have custody if a related person holds, directly or indirectly, client funds or securities, or has any authority to obtain possession of them, in connection with advisory services you provide to clients. Custody includes:

- Possession of client funds or securities (but not of checks drawn by clients and made payable to third parties) unless you receive them inadvertently and you return them to the sender promptly but in any case, within three business days of receiving them;
- Any arrangement (including a general power of attorney) under which you are authorized or permitted to withdraw client funds or securities maintained with a custodian upon your instruction to the custodian; and

- Any capacity (such as general partner of a limited partnership, managing member of a limited liability company or a comparable position for another type of pooled investment vehicle, or trustee of a trust) that gives you or your supervised person legal ownership of or access to client funds or securities. [Used in: Part 1A, Item 9; Part 1B, Instructions, Item 2; Part 2A, Items 15, 18]

9. Discretionary Authority or Discretionary Basis: Your firm has discretionary authority or manages assets on a discretionary basis if it has the authority to decide which securities to purchase and sell for the client. Your firm also has discretionary authority if it has the authority to decide which investment advisers to retain on behalf of the client. [Used in: Part 1A, Instructions, Item 8; Part 1B, Instructions; Part 2A, Items 4, 16, 18; Part 2B, Instructions]

10. Employee: This term includes an independent contractor who performs advisory functions on your behalf. [Used in: Part 1A, Instructions, Items 1, 5, 11; Part 2B, Instructions]

11. Enjoined: This term includes being subject to a mandatory injunction, prohibitory injunction, preliminary injunction, or a temporary restraining order. [Used in: Part 1A, Item 11; DRPs]

12. Felony: For jurisdictions that do not differentiate between a felony and a misdemeanor, a felony is an offense punishable by a sentence of at least one-year imprisonment and/or a fine of at least \$1,000. The term also includes a general court martial. [Used in: Part 1A, Item 11; DRPs; Part 2A, Item 9; Part 2B, Item 3]

13. FINRA CRD or CRD: The Web Central Registration Depository (“CRD”) system operated by FINRA for the registration of broker-dealers and broker-dealer representatives. [Used in: General Instructions, Part 1A, Item 1, Schedules A, B, C, D, DRPs; Form ADV-W, Item 1]

14. Foreign Financial Regulatory Authority: This term includes (1) a foreign securities authority; (2) another governmental body or foreign equivalent of a self-regulatory organization empowered by a foreign government to administer or enforce its laws relating to the regulation of investment-related activities; and (3) a foreign membership organization, a function of which is to regulate the participation of its members in the activities listed above. [Used in: Part 1A, Items 1, 11; DRPs; Part 2A, Item 9; Part 2B, Item 3]

15. Found: This term includes adverse final actions, including consent decrees in which the respondent has neither admitted nor denied the findings, but does not include agreements, deficiency letters, examination reports, memoranda of understanding, letters of caution, admonishments, and similar informal resolutions of matters. [Used in: Part 1A, Item 11; Part 1B, Item 2; Part 2A, Item 9; Part 2B, Item 3]

16. Government Entity: Any state or political subdivision of a state, including (i) any agency, authority, or instrumentality of the state or political subdivision; (ii) a plan or pool of assets controlled by the state or political subdivision or any agency, authority, or instrumentality thereof; and (iii) any officer, agent, or employee of the state or political subdivision or any agency, authority, or instrumentality thereof, acting in their official capacity. [Used in: Part 1A, Item 5]

17. **High Net Worth Individual:** An individual with at least \$750,000 managed by you, or whose net worth your firm reasonably believes exceeds \$1,500,000, or who is a “qualified purchaser” as defined in section 2(a)(51)(A) of the Investment Company Act of 1940. The net worth of an individual may include assets held jointly with his or her spouse. [Used in: Part 1A, Item 5]

18. **Home State:** If your firm is registered with a state securities authority, your firm’s “home state” is the state where it maintains its principal office and place of business. [Used in: Part 1B, Instructions]

19. **Impersonal Investment Advice:** Investment advisory services that do not purport to meet the objectives or needs of specific individuals or accounts. [Used in: Part 1A, Instructions; Part 2A, Instructions; Part 2B, Instructions]

20. **Investment Adviser Representative:** Investment adviser representatives of SEC-registered advisers may be required to register in each state in which they have a place of business. Any of your firm’s supervised persons (except those that provide only impersonal investment advice) is an investment adviser representative, if:

- the supervised person regularly solicits, meets with, or otherwise communicates with your firm’s clients,
- the supervised person has more than five clients who are natural persons and not high net worth individuals, and
- more than ten percent of the supervised person’s clients are natural persons and not high net worth individuals.

**NOTE:** If your firm is registered with the state securities authorities and not the SEC, your firm may be subject to a different state definition of “investment adviser representative.” [Used in: General Instructions; Part 1A, Item 7; Part 2B, Item 1]

21. **Investment-Related:** Activities that pertain to securities, commodities, banking, insurance, or real estate (including, but not limited to, acting as, or being associated with an investment adviser, broker-dealer, municipal securities dealer, government securities broker or dealer, issuer, investment company, futures sponsor, bank, or savings association). [Used in: Part 1A, Items, 7, 11, DRPs; Part 1B, Item 2; Part 2A, Items 9 and 19; Part 2B, Items 3, 4 and 7]

22. **Involved:** Engaging in any act or omission, aiding, abetting, counseling, commanding, inducing, conspiring with, or failing reasonably to supervise another in doing an act. [Used in: Part 1A, Item 11; Part 2A, Items 9 and 19; Part 2B, Items 3 and 7]

23. **Management Persons:** Anyone with the power to exercise, directly or indirectly, a controlling influence over your firm’s management or policies, or to determine the general investment advice given to the clients of your firm.

Generally, all of the following are management persons:

- Your firm’s principal executive officers, such as your chief executive officer, chief

financial officer, chief operations officer, chief legal officer, and chief compliance officer; your directors, general partners, or trustees; and other individuals with similar status or performing similar functions;

- The members of your firm’s investment committee or group that determines general investment advice to be given to clients; and
- If your firm does not have an investment committee or group, the individuals who determine general investment advice provided to clients (if there are more than five people, you may limit your firm’s response to their supervisors). [Used in: Part 1B, Item 2; Part 2A, Items 9, 10 and 19]

24. **Managing Agent:** A managing agent of an investment adviser is any person, including a trustee, who directs or manages (or who participates in directing or managing) the affairs of any unincorporated organization or association that is not a partnership. [Used in: General Instructions; Form ADV-NR; Form ADV-W, Item 8]

25. **Minor Rule Violation:** A violation of a self-regulatory organization rule that has been designated as “minor” pursuant to a plan approved by the SEC. A rule violation may be designated as “minor” under a plan if the sanction imposed consists of a fine of \$2,500 or less, and if the sanctioned person does not contest the fine. (Check with the appropriate self-regulatory organization to determine if a particular rule violation has been designated as “minor” for these purposes.) [Used in: Part 1A, Item 11]

26. **Misdemeanor:** For jurisdictions that do not differentiate between a felony and a misdemeanor, a misdemeanor is an offense punishable by a sentence of less than one year imprisonment and/or a fine of less than \$1,000. The term also includes a special court martial. [Used in: Part 1A, Item 11; DRPs; Part 2A, Item 9; Part 2B, Item 3]

27. **Non-Resident:** (a) an individual who resides in any place not subject to the jurisdiction of the United States; (b) a corporation incorporated in or having its principal office and place of business in any place not subject to the jurisdiction of the United States; and (c) a partnership or other unincorporated organization or association that has its principal office and place of business in any place not subject to the jurisdiction of the United States. [Used in: General Instructions; Form ADV-NR]

28. **Notice Filing:** SEC-registered advisers may have to provide state securities authorities with copies of documents that are filed with the SEC. These filings are referred to as “notice filings.” [Used in: General Instructions; Part 1A, Item 2; Execution Page(s); Form ADV-W]

29. **Order:** A written directive issued pursuant to statutory authority and procedures, including an order of denial, exemption, suspension, or revocation. Unless included in an order, this term does not include special stipulations, undertakings, or agreements relating to payments, limitations on activity or other restrictions. [Used in: Part 1A, Items 2 and 11; Schedule D; DRPs; Part 2A, Item 9; Part 2B, Item 3]

1

30. **Performance-Based Fee:** An investment advisory fee based on a share of capital gains on, or capital appreciation of, client assets. A fee that is based upon a percentage of assets that you manage is not a performance-based fee. [Used in: Part 1A, Item 5; Part

2A, Items 6 and 19]

31. Person: A natural person (an individual) or a company. A company includes any partnership, corporation, trust, limited liability company (“LLC”), limited liability partnership (“LLP”), sole proprietorship, or other organization. [Used throughout Form ADV and Form ADV-W]

32. Principal Place of Business or Principal Office and Place of Business: Your firm’s executive office from which your firm’s officers, partners, or managers direct, control, and coordinate the activities of your firm. [Used in: Part 1A, Instructions, Items 1 and 2; Schedule D; Form ADV-W, Item 1]

33. Proceeding: This term includes a formal administrative or civil action initiated by a governmental agency, self-regulatory organization or foreign financial regulatory authority; a felony criminal indictment or information (or equivalent formal charge); or a misdemeanor criminal information (or equivalent formal charge). This term does not include other civil litigation, investigations, or arrests or similar charges effected in the absence of a formal criminal indictment or information (or equivalent formal charge). [Used in: Part 1A, Item 11; DRPs; Part 1B, Item 2; Part 2A, Item 9; Part 2B, Item 3]

34. Related Person: Any advisory affiliate and any person that is under common control with your firm. [Used in: Part 1A, Items 7, 8, 9; Schedule D; Form ADV-W, Item 3; Part 2A, Items 10, 11, 12, 14; Part 2A, Appendix 1, Item 6]

35. Self-Regulatory Organization or SRO: Any national securities or commodities exchange, registered securities association, or registered clearing agency. For example, the Chicago Board of Trade (“CBOT”), FINRA and New York Stock Exchange (“NYSE”) are self-regulatory organizations. [Used in: Part 1A, Item 11; DRPs; Part 1B, Item 2; Part 2A, Items 9 and 19; Part 2B, Items 3 and 7]

36. Sponsor: A sponsor of a wrap fee program sponsors, organizes, or administers the program or selects, or provides advice to clients regarding the selection of, other investment advisers in the program. [Used in: Part 1A, Item 5; Schedule D; Part 2A, Instructions, Appendix 1 Instructions]

37. State Securities Authority: The securities commission (or any agency or office performing like functions) of any state of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, or any other possession of the United States. [Used throughout Form ADV]

38. Supervised Person: Any of your officers, partners, directors (or other persons occupying a similar status or performing similar functions), or employees, or any other person who provides investment advice on your behalf and is subject to your supervision or control. [Used throughout Part 2]

39. Wrap Brochure or Wrap Fee Program Brochure: The written disclosure statement that sponsors of wrap fee programs must provide to each of their wrap fee program clients. [Used in: Part 2, General Instructions; Used throughout Part 2A, Appendix 1]

40. Wrap Fee Program: Any advisory program under which a specified fee or fees not based

directly upon transactions in a client's account is charged for investment advisory services (which may include portfolio management or advice concerning the selection of other investment advisers) and the execution of client transactions. [Used in: Part 1, Item 5; Schedule D; Part 2A, Instructions, Item 4, used throughout Appendix 1; Part 2B, Instructions]

**Brochure Supplement  
John D. Kinder**

March 27, 2020

Revere Wealth Management LLC  
1709 S. Breiel Blvd.  
Middletown, OH 45044



(513)-423-6263

This brochure supplement provides information about John D. Kinder that supplements the Revere Wealth Management brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Kinder is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Mr. John Kinder was born in 1951. He received his formal education at University of Cincinnati in Cincinnati, Ohio where he received his Bachelor of Science in Accounting in 1976. Currently Mr. Kinder is Sr. Vice President, Sales and Marketing at Revere Securities LLC. Previously he was Sr. Vice President, Sales and Marketing at Briarcliff Capital Corporation and was at Westminster Financial Securities Inc. where he served as an Insurance and Securities Salesman.

Mr. Kinder holds the following security licenses:

- Series 7 General Securities
- Series 24 General Principal
- Series 63 Blue Sky Laws
- Series 65 Investment Advisor
- Insurance and Annuities

#### Item 3. Disciplinary Information

Mr. Kinder does not have any disciplinary information required to be reported under this section.

#### Item 4. Other Business Activities

Mr. John Kinder is also a Registered Representative of Revere Securities LLC, an affiliated broker-dealer of Revere Wealth Management. In this capacity, John recommends securities transactions for commission-based compensation. Mr. Kinder's time spent on this activity generally overlaps that of Revere Wealth, as they are affiliated, investment related companies providing similar services. Mr. Kinder provides these services from the same location as his advisory business.

Mr. Kinder has a potential conflict, as he has a financial incentive to recommend investments based on compensation received; which may deviate from the client's needs. Clients are under no obligation to purchase or use any other services offered by Mr. Kinder. Mr. Kinder's transactions are reviewed daily by his Revere Securities Branch Manager to help mitigate against such potential conflicts.

Mr. Kinder is also the owner of Kinder Financial Services, located at 1709 S. Breiel Blvd., Middletown, Ohio 45044. Kinder Financial Services performs investment related business by offering insurance sales including life insurance, accident insurance, disability insurance, senior care insurance and trust services. Mr. Kinder generally spends approximately 10 hours a month, none during regular trading hours.

Mr. Kinder has a potential conflict, as he has a financial incentive to recommend investments based on compensation received; which may deviate from the client's needs. Clients are under no obligation to purchase or use any other services offered by Mr. Kinder.

#### Item 5. Additional Compensation

This item is not applicable.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person

responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer, on an as needed basis.

**Item 7. Requirements for State-Registered Advisers**

Revere Wealth Management is an SEC Registered Advisor. Please see item 3, above for any disclosure information.

**Brochure Supplement  
Larry LaVoice**

March 27, 2020

Revere Wealth Management LLC  
650 Fifth Avenue, 35 Floor

New York, NY 10019  
(212) 688-2308

This brochure supplement provides information about Larry LaVoice that supplements the Revere Wealth Management LLC's brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. LaVoice is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Year of Birth: 1956

Education:

- University of Massachusetts - BS in Psychology (1981)

- Mr. LaVoice currently holds the Series 7, Series 63, and Series 65 licenses.

#### Business Background:

- Morgan Stanley –Financial Advisor (July 2010 – December 2017)
- UBS Financial Services Inc. – Financial Advisor (August 2002 – July 2010)
- Salomon Smith Barney Inc. – Financial Advisor (January 1997 – August 2002)

#### Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. LaVoice does have disciplinary information which may be viewed at <http://www.brokercheck.com> or information pertaining to his disclosures may be requested in writing from our offices (see front page of this form).

#### Item 4. Other Business Activities

Mr. LaVoice is also a registered representative of Revere Securities LLC. In such capacity, Larry recommends securities transactions for commission compensation. In this regard, Mr. LaVoice has an inherent conflict of interest, as he has incentive to recommend investment products based on compensation received, which may not necessarily be based on the client's needs. Mr. LaVoice transactions are reviewed daily by his Revere Securities Branch Manager, to mitigate against such potential conflicts.

Mr. LaVoice is co-owner of Saints Cab LLC, located at 260 West 54th Street, #44F, New York, NY 10019. The company is a medallion cab company and not investment related. Mr. LaVoice has been with this investment since June 1, 2007. This is a passive position. Mr. LaVoice spends 1 hour or less a month and spends no time during regular trading hours.

#### Item 5. Additional Compensation

Other than item 4, above, this item is not applicable.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is [cslavinsky@reveresecurities.com](mailto:cslavinsky@reveresecurities.com) or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer.

#### Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is an SEC registered investment advisor. Please refer to ITEM 3.

**Brochure Supplement**  
**Charles Slavinsky**

March 27, 2020

Revere Wealth Management LLC  
650 Fifth Avenue, 35 Floor

New York, NY 10019  
561-910-0843

This brochure supplement provides information about Charles Slavinsky that supplements the Revere Wealth Management LLC's brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Charles Slavinsky is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Year of Birth: 1967

**Business Background:** Charles Slavinsky has held numerous Compliance positions in the financial services industry in both registered and non-registered capacities. He has approximately 25 years of compliance experience. Among his roles, Mr. Slavinsky has served

as the Chief Compliance Officer and AML Compliance Officer of ASD Financial Services Corp. and Jesup & Lamont Securities and the Anti-Money Laundering Compliance Officer of Newbridge Securities, Inc. During his tenure at Bear Stearns & Co., Inc., Mr. Slavinsky had numerous Compliance roles including VP/Compliance Registered Options Principal, Senior Compliance Surveillance Analyst and Assistant Manager of Regulatory Inquiries. Mr. Slavinsky has also served as a Compliance Analyst at Donaldson, Lufkin & Jenrette (“DLJ”) and a VP of Compliance at Credit Suisse, First Boston (after DLJ merger).

Mr. Slavinsky attended Kean College in New Jersey as a Computer Science major and currently maintains the following securities licenses:

- Series 4 – Options Principal
- Series 7 – Registered Representative
- Series 24 – Principal
- Series 53 – Municipal Principal
- Series 57 – Equities Trader
- Series 66 – Investment Advisor / State Blue Sky Qualifications

#### Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. Slavinsky does have disclosures that are available for review on <http://www.brokercheck.com> or information pertaining to may be requested at the address on page 1 of this document.

#### Item 4. Other Business Activities

Mr. Slavinsky is the President of Red Star Compliance, LLC, a compliance consulting firm based in the state of Florida. In this capacity, Mr. Slavinsky provides consulting services to small investment adviser firms and broker-dealers. The majority of Mr. Slavinsky’s time during regular business hours is spent as Chief Compliance Officer and AML Compliance Officer of Revere Securities, Inc. and Revere Wealth Management, the affiliated advisor. Mr. Slavinsky does not currently manage any investment advisory accounts with Revere Wealth Management LLC. Mr. Slavinsky is also a registered representative at Revere Securities LLC. Mr. Slavinsky receives little or no compensation for accounts in which he acts as registered representative. Such accounts are incidental to his primary role at Revere.

#### Item 5. Additional Compensation

Mr. Slavinsky’s primary compensations is from Revere Securities LLC, the broker-dealer affiliate of Revere Wealth Management LLC. Mr. Slavinsky also receives compensation for business activities identified in Item 4, above.

#### Item 6. Supervision



Mr. Slavinsky reports directly to the Firm's CEO, William Moreno. Mr. Moreno's office can be reached at (212) 688-2156. Mr. Slavinsky does not currently have any clients under his direct advisory and any future clients will be incidental in nature. Mr. Slavinsky expects to receive little, or no compensation related to such incidental accounts.

Item 7. Requirements for State-Registered Advisers

Please refer to ITEM 3.

**Brochure Supplement**  
**Fred Rosoff**

March 27, 2020

Revere Wealth Management LLC  
650 Fifth Avenue, 35 Floor

New York, NY 10019  
212-688-2307

This brochure supplement provides information about Fred Rosoff that supplements the Revere Wealth Management LLC's brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Fred Rosoff is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Year of Birth: 1943

Business Background: Senior Portfolio Manager and Managing Director of Burnham Asset Management Corporation ("BAM") and Burnham Securities Inc. ("BSI") from October 1990 through March 2016. BSI was a broker-dealer affiliated with BAM.

- Received a B.A. from The Johns Hopkins University, 1966.

### Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. Rosoff does not have any disciplinary information to disclose.

### Item 4. Other Business Activities

Mr. Rosoff is also a registered representative of Revere Securities LLC and generally receives a portion of commissions generated on clients' transactions executed by Revere Securities LLC, in addition to any portion of the advisory fee he receives. Mr. Rosoff may also receive a portion of 12b-1 fees or a sales load from the sale of certain mutual funds. Such payments create a conflict of interest for portfolio managers. The Compliance Dept. routinely reviews accounts for sales practice issues.

### Item 5. Additional Compensation

Mr. Rosoff, as a registered representative of Revere Securities LLC may also receive commissions for accounts held under this brokerage affiliate.

### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer. Mr. Slavinsky reports to senior management of the firm.

### Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to ITEM 3.

## **Brochure Supplement Scott H. Fullman**

March 27, 2019

Revere Wealth Management LLC  
650 Fifth Avenue, 35th Floor

New York, NY 10019  
(212) 688-2661

This brochure supplement provides information about Scott Fullman that supplements the Revere Wealth Management LLC's brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Fullman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Year of Birth: 1963

Education:

- Pace University – BS in Business Administration (2004)

- Kingsborough Community College – AAS Broadcast Management (1983)
- Mr. Fullman currently holds the Series 4, 7, 24, 63, 65, 86, and 87 licenses.

#### Business Background:

- Revere Securities LLC – Chief Strategist, NY Branch Manager (June 2015 – Present)
- Fullman Technologies, Inc. – President, Founder (May 1992 – Present)
- Olympian Capital Mgt. – Chief Strategist, Portfolio Mgr. (Jan. 2014 – Sept. 2014)
- Oppenheimer & Co. – Director, Institutional Options Strategist (Jan. 2012 – Jan. 2014)
- Global Hunter Securities – Managing Director (Aug. 2011 – Oct. 2011)
- BTIG Corp. – Chief Derivatives Strategist (Feb. 2011 – Jun. 2011)
- WJB Securities Corp. – Chief Derivative Strategist, Supervisory Analyst (Apr. 2008 – Feb. 2011)
- Israel A. Englander & Co. – EVP, Chief Derivative Strategist (Feb 2007 – Mar 2008)
- Hapoalim Securities USA – Managing Director of Institutional Options & Trading (Dec 2003 – Mar. 2007)
- Swiss American Securities Inc. – Vice-President of Trading & Research (Apr. 1993 – Oct 2002)
- Cowen & Co. – Vice President & Options Strategist (Mar 1986 – Apr 1993)
- Shearson Lehman Brothers – Trading Operations (July 1979 – Mar 1986)

#### Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

#### Item 4. Other Business Activities

Mr. Fullman is also the Branch Manager of Revere Securities' New York Branch Office. In this capacity, Mr. Fullman is responsible for the supervision of registered representatives in this office. Mr. Fullman also is a registered representative of Revere Securities LLC. In this capacity, Mr. Fullman provides securities recommendations for commission based

compensation. This presents an inherent conflict of interest, as Mr. Fullman has the incentive to recommend investment products based on compensation received, rather than the client's needs. Mr. Fullman's transactions are reviewed daily by the Firm's CCO, to help mitigate against any potential conflicts.

Mr. Fullman is also the President and Founder of Fullman Technologies, Inc., a company that has developed proprietary software and educational materials for the financial services industry. Fullman Technologies, Inc. also does business under the label "Increasing Alpha®"

Mr. Fullman has been an adjunct professor at Seton Hall University teaching undergraduate and graduate courses in business and finance.

Mr. Fullman is also on the advisory committee to Team 360 Sports Inc.

#### Item 5. Additional Compensation

Mr. Fullman's primary compensation is derived from his affiliations with Revere Securities LLC and Revere Wealth Management. Mr. Fullman may receive other compensation from the items listed in Item 4, above.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondence including marketing material are reviewed by the compliance officer.

#### Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to Item 3, above.

**Brochure Supplement  
James B. Liberman**

March 27, 2020

Revere Wealth Management LLC

160 State St, 7 floor  
Boston, MA 02109  
857-453-4312

This brochure supplement provides information about James B Liberman that supplements the Revere Wealth Management brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. James B Liberman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Mr. James Liberman was born in 1947.

Education Background:

- He graduated from The University of Virginia, with a BA in Economics, in 1969.
- He spent his junior year at University College, London University, studying Political Economy and Philosophy.
- Mr. Liberman is a Sr. Vice President- Investments, at Revere Securities.
- He has been advising clients for over 40 years; and for more than ten years, he has helped structure portfolios in a fee-based platform.
- Mr. Liberman evaluates each client's goals, time horizon, and risk profiles.

#### Business Background and Securities Licenses:

##### State Securities Law Exams:

- Series 63- Uniform Securities Agent State Law Examination Sep 24, 1980
- Series 65- Uniform Investment Advisor Law Examination Nov 28, 2005

##### General Industry/Products Exams:

- Series 1- Registered Representative Examination May 3, 1973
- PC- AMEX Put and Call Exam Nov 13, 1985

#### Item 3. Disciplinary Information

James Liberman has no disciplinary history.

#### Item 4. Other Business Activities

Mr. Liberman is also a registered representative of Revere Securities LLC and generally receives a portion of commissions generated on clients' transactions executed by Revere Securities LLC, in addition to any portion of the advisory fee he receives. Mr. Liberman may also receive a portion of 12b-1 fees or a sales load from the sale of certain mutual funds. Such payments create a conflict of interest for portfolio managers. The Compliance Dept. routinely reviews accounts for sales practice issues.

Mr. James Liberman is also engaged in an artistic collaboration and hopes to soon publish a children's book called: "Have You Ever Dragged a Dragon." He wrote the poem; and Caroline Lindeke is the illustrator.

Caroline is a friend and a client of Mr. Liberman's; and this collaboration was disclosed while Mr. Liberman was working for his previous employer. The publisher is Tandem Light Press, in Georgia.

#### Item 5. Additional Compensation



Mr. Liberman's primary income is from commissions earned as an Account Executive at Revere Securities LLC. Additional income may be generated from any item listed in Item 4, above.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer.

#### Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to ITEM 3, above.

**Brochure Supplement**  
**Kyle M. Wool**

February 10, 2021

Revere Wealth Management LLC

650 Fifth Avenue, 35th Floor  
New York, NY 10019  
(212) 688-2350

This brochure supplement provides information about Kyle M. Wool that supplements the Revere Wealth Management brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Kyle M. Wool is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Mr. Kyle Wool was born in 1977.

Education Background:

- He graduated from Binghamton University, in 2000.

#### Business Background and Securities Licenses:

- Mr. Wool is President of Wealth Management, at Revere Securities.
- Mr. Wool was an Executive Director at Morgan Stanley and the head of The Wool Group.
- Prior to Morgan Stanley Mr. Wool worked at Oppenheimer and Co.
- Mr. Wool was based in Hong Kong from 2010-2013, he served as the Managing Director of the Professional Investors Group for Oppenheimer Asia Ltd. He still maintains interest in the Asia-Pacific investment space.
- He has been advising clients for over 20 years; and for more than ten years, he has helped structure portfolios in a fee-based platform.
- Mr. Wool evaluates each client's goals, time horizon, and risk profiles.

#### State Securities Law Exams:

- Series 62- Corporate Securities Qualification Examination Jul 27, 2000
- Series 63- Uniform Securities Agent State Law Examination Sep 14, 2000
- Series 65- Uniform Investment Advisor Law Examination Apr 3, 2006

#### General Industry/Products Exams:

- Series 7- General Securities Representative Examination Dec 13, 2000

#### Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. Wool does have disclosures that are available for review on <http://www.brokercheck.com> or information pertaining to may be requested at the address on page 1 of this document.

#### Item 4. Other Business Activities

Mr. Wool is also a registered representative of Revere Securities LLC and generally receives a portion of commissions generated on clients' transactions executed by Revere Securities LLC, in addition to any portion of the advisory fee he receives. Mr. Wool may also receive a portion of 12b-1 fees or a sales load from the sale of certain mutual funds. Such payments

create a conflict of interest for portfolio managers. The Compliance Dept. routinely reviews accounts for sales practice issues.

Mr. Wool has been a featured speaker on Executive Services and Wealth Management at the China Entrepreneurs CEO & CFO Forum.

He has also been a featured speaker on U.S. Markets and Foreign Direct Investments at the Fujairah U.A.E. International Business Forum.

Kyle is also active in various philanthropic endeavors both domestically and abroad. He currently serves as a board member of Lifeline NY, a charity foundation focused on attain medical equipment for the underprivileged children of Serbia Headed by HRH Crown Princess Katherine of Serbia.

Kyle is also is a board member of the CIRSD (Center for International Relations and Sustainable Development) headed by Vuk Jeremic, the 67th President of the United Nations General Assembly and Project Rousseau, whose mission is to empower youth in communities with the greatest need to reach their full potential and pursue higher education.

Kyle is also a Board Member of Lang Lang International Music Foundation, which believes that all children should have access to a music education, regardless of their background. At the Lang Lang International Music Foundation®, we strive to educate, inspire, and motivate the next generation of music lovers and performers.

On Feb 21, 2019 Kyle was honored by Crown Princess Katherine with the Lifeline Humanitarian Award.

#### Item 5. Additional Compensation

Mr. Wool's primary income is from commissions earned as an Account Executive at Revere Securities LLC. Additional income may be generated from any item listed in Item 4, above.

In May of 2020. Kyle also has various business interests and is a board member of Line Up Media Group, a media company, conceived to circumvent traditional entertainment barriers, deliver fresh, ultramodern alternatives for audiences who determine how they want their entertainment, when they want it, and where they want it, on demand.

Kyle is also a Partner at Merakia, an award-winning Greek Steakhouse in the Flatiron district of NYC.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm

has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer.

Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to ITEM 3, above.

**Brochure Supplement**  
**Jerome F. Niles**

February 10, 2021

Revere Wealth Management LLC

650 Fifth Avenue, 35th Floor  
New York, NY 10019  
(212) 688-2350

This brochure supplement provides information about Jerome F. Niles that supplements the Revere Wealth Management brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Jerome F. Niles is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Mr. Jerome Niles was born in 1975.

Education Background:

- He graduated from Virginia Tech University, Finance, in 1996.
- He graduated from Pamplin College of Business, in 1998.

#### Business Background and Securities Licenses:

- Mr. Niles is Managing Director of Wealth Management, at Revere Securities, International Client Advisor and Portfolio Manager.
- Mr. Niles was a Managing Director at Morgan Stanley and part of The Wool Group.
- Prior to Morgan Stanley Mr. Niles worked at Oppenheimer and Co as Director of Investments.
- The Wool Group which was created to provide integrated strategies designed to help build, manage, and preserve wealth. Drawing on over 20 years of financial experience, Jerry and The Wool Group help their clients define their needs, evaluate their goals, think ahead toward potential challenges, identify opportunities, and formulate strategies in pursuit of their unique goals.
- Mr. Niles evaluates each client's goals, time horizon, and risk profiles.

#### State Securities Law Exams:

- Series 31- Futures Managed Funds Examination Mar 15, 2006
- Series 63- Uniform Securities Agent State Law Examination Oct 15, 1998
- Series 65- Uniform Investment Advisor Law Examination May 20, 2002

#### General Industry/Products Exams:

- Series 7- General Securities Representative Examination Oct 08, 1998
- New York State – Life, Variable Health and Long-Term Care Insurance License

#### Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. Mr. Niles does have disclosures that are available for review on <http://www.brokercheck.com> or information pertaining to may be requested at the address on page 1 of this document.

#### Item 4. Other Business Activities

Mr. Niles is also a registered representative of Revere Securities LLC and generally receives a portion of commissions generated on clients' transactions executed by Revere Securities LLC, in addition to any portion of the advisory fee he receives. Mr. Niles may also receive a portion of 12b-1 fees or a sales load from the sale of certain mutual funds. Such payments

create a conflict of interest for portfolio managers. The Compliance Dept. routinely reviews accounts for sales practice issues.

#### Item 5. Additional Compensation

Mr. Niles' primary income is from commissions earned as an Account Executive at Revere Securities LLC. Additional income may be generated from any item listed in Item 4, above.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer.

#### Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to ITEM 3, above.

**Brochure Supplement**  
**Joshua A. Shipley**

February 10, 2021

Revere Wealth Management LLC



650 Fifth Avenue, 35th Floor  
New York, NY 10019  
(212) 688-2350

This brochure supplement provides information about Joshua A. Shipley that supplements the Revere Wealth Management brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Joshua A. Shipley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Mr. Joshua Shipley was born in 1995.

Education Background:

- He graduated from St. John Fisher College, Victor E. Salerno School of Business, BS Corporate Finance, in 2014.

#### Business Background and Securities Licenses:

- Mr. Shipley is Vice President of Wealth Management, at Revere Securities, International Client Advisor.
- Mr. Niles was a Financial Advisor at Morgan Stanley and part of The Wool Group.
- Mr. Niles evaluates each client's goals, time horizon, and risk profiles.

#### State Securities Law Exams:

- Series 66- Uniform Combined State Law Examination Nov 20, 2017

#### General Industry/Products Exams:

- Series 7- General Securities Representative Examination Oct 27, 2017
- New York State – Life, Variable Health and Long-Term Care Insurance License

#### Item 3. Disciplinary Information

Joshua Shipley has no disciplinary history.

#### Item 4. Other Business Activities

Mr. Shipley is also a registered representative of Revere Securities LLC and generally receives a portion of commissions generated on clients' transactions executed by Revere Securities LLC, in addition to any portion of the advisory fee he receives. Mr. Shipley may also receive a portion of 12b-1 fees or a sales load from the sale of certain mutual funds. Such payments create a conflict of interest for portfolio managers. The Compliance Dept. routinely reviews accounts for sales practice issues.

#### Item 5. Additional Compensation

Mr. Shipley's primary income is from commissions earned as an Account Executive at Revere Securities LLC. Additional income may be generated from any item listed in Item 4, above.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm

has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer.

Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to ITEM 3, above.

**Brochure Supplement**  
**Soo J. Yu**

February 10, 2021

Revere Wealth Management LLC

650 Fifth Avenue, 35th Floor  
New York, NY 10019  
(212) 688-2350

This brochure supplement provides information about Soo J. Yu that supplements the Revere Wealth Management brochure. You should have received a copy of that brochure. Please contact Mr. Charles Slavinsky, Chief Compliance Officer at 561-910-0843 if you did not receive the Revere Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Soo J. Yu is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2. Educational Background and Business Experience

Mrs. Soo Yu was born in 1971.

Business Background and Securities Licenses:

- Ms. Yu provides administrative and other support for the Wool Group advisory team. Ms. Yu specialized in working with various international clientele with a focus on the Asian markets.
- Ms. Yu is Vice President of Wealth Management, at Revere Securities, International Client Advisor.
- Ms. Yu is a member of Revere Private Wealth Management.
- Ms. Yu evaluates each client's goals, time horizon, and risk profiles.

#### State Securities Law Exams:

- Series 66 - Uniform Combined State Law Examination Mar 30, 2018

#### General Industry/Products Exams:

- Series 7- General Securities Representative Examination Jan 26, 2018

#### Item 3. Disciplinary Information

Soo Yu has no disciplinary history.

#### Item 4. Other Business Activities

Ms. Yu is also a registered representative of Revere Securities LLC and generally receives a portion of commissions generated on clients' transactions executed by Revere Securities LLC, in addition to any portion of the advisory fee he receives. Mr. Shipley may also receive a portion of 12b-1 fees or a sales load from the sale of certain mutual funds. Such payments create a conflict of interest for portfolio managers. The Compliance Dept. routinely reviews accounts for sales practice issues.

Renaissance International Trading Company, LLC. Non-Investment Related, Trading Company.

Centurion Real Estate Group, LLC. Non-Investment related position agent. Duties are connecting Asian clients with real estate opportunities.

Trajan Holdings, LLC, Non-Investment related position, Owner/manager, Since 2006. Import Export business introducing Korean nutritional companies with U.S. companies.

#### Item 5. Additional Compensation

Ms. Yu's primary income is from commissions earned as an Account Executive at Revere Securities LLC. Additional income may be generated from any item listed in Item 4, above.

#### Item 6. Supervision

Mr. Charles Slavinsky, the Chief Compliance Officer of Revere Securities LLC is the person responsible for the supervision of all Investment Advisors of the Firm. Mr. Slavinsky is located at 1900 NW Corporate Blvd., Ste. W105, Boca Raton, FL 33431 and his contact information is cslavinsky@reveresecurities.com or by telephone at (561)-994-6229. The Firm has compliance reports that are generated daily, weekly, and monthly which are reviewed by the Compliance Officer. In addition, sample correspondences including marketing material are reviewed by the compliance officer.

#### Item 7. Requirements for State-Registered Advisers

Revere Wealth Management is not a State Registered Investment Advisor. Please refer to ITEM 3, above.